

422 Rec'd PCT/PTO 0 6 JUN 2000

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| FORM PTO-1340<br>(REV 12-29-99)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER   |  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371   |  |   |  | Mo-5726/LeA 32,563   |  |
|  |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br>09/555921<br>To be Assigned |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP98/07753  |  | INTERNATIONAL FILING DATE<br>December 1, 1998           |  | PRIORITY DATE CLAIMED<br>December 13, 1997                                     |  |
| TITLE OF INVENTION METHOD FOR PRODUCING THERMOPLASTIC POLYURETHANE<br>ELASTOMERS   |  |   |  |  |  |
| APPLICANT(S) FOR DO/EO/US Wolfgang Kaufhold; Friedemann Müller; Wolfgang Bräuer;<br>Ulrich Liesenfelder; Herbert Heindingsfeld   |  |   |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |  |  |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p style="margin-left: 20px;"><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information:</p> <p style="margin-left: 20px;">Preliminary Amendment w/Abstract; PTO Form 1449 and references listed therein</p> |  |   |  |  |  |

# TRANSMITTAL LETTER TO THE UNITED STATES RECEIVING OFFICE

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|                               |                    |
|-------------------------------|--------------------|
| Date                          | June 6, 2000       |
| International Application No. | PCT/EP98/07753     |
| Attorney Docket No.           | Mo-5726/TeA 32,563 |

## I. Certification under 37 CFR 1.10 (if applicable)

|                             |
|-----------------------------|
| EK983331736US               |
| Express Mail mailing number |

|                 |
|-----------------|
| June 6, 2000    |
| Date of Deposit |

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

|  |
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|  |
| Signature of person mailing correspondence |

|  |
|--|
| Donna J. Veatch  |
| Typed or printed name of person mailing correspondence |

## II. ☒ New International Application

|       |  |                                       |
|-------|--|---------------------------------------|
| TITLE | METHOD FOR PRODUCING THERMOPLASTIC POLYURETHANE ELASTOMERS | Earliest priority date (Day/Mon/Year) |
|       |  | (13/12/1997)                          |

SCREENING DISCLOSURE INFORMATION: In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☒ The invention disclosed was not made in the United States.
- B. ☒ There is no prior U.S. application relating to this invention.
- C. ☐ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

|                 |  |          |  |
|-----------------|--|----------|--|
| application no. |  | filed on |  |
| application no. |  | filed on |  |

- D. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages  and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

## III. ☐ A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:

- A. ☐ A Request for An Extension of Time to File a Response
- B. ☐ A Power of Attorney (General or Regular)
- C. ☐ Replacement pages:

|       |  |                             |       |  |                 |
|-------|--|-----------------------------|-------|--|-----------------|
| pages |  | of the request (PCT/RO/101) | pages |  | of the figures  |
| pages |  | of the description          | pages |  | of the abstract |
| pages |  | of the claims               |       |  |                 |

- D. ☐ Submission of Priority Documents

|                   |  |                   |  |
|-------------------|--|-------------------|--|
| Priority document |  | Priority document |  |
|-------------------|--|-------------------|--|

- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

## IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☐ A Sequence Listing Diskette

V. ☒ Other (please specify):  
Preliminary Amendment w/Abstract; PTO Form 1449 and references listed therein

|                                      |  |            |                      |
|--------------------------------------|--|------------|----------------------|
| The person signing this form is the: | <input type="checkbox"/> Applicant                                   | Aron Preis |                      |
|                                      | <input checked="" type="checkbox"/> Attorney/Agent (Reg. No.) 29,426 |            | Typed name of signer |
|                                      | <input type="checkbox"/> Common Representative                       |            | Signature            |